### Transport of Intercepted IP Traffic (TIIT) Version 0.1.2

Nederlands Forensisch Instituut, Ministerie van Justitie Binnenlandse Veiligheidsdienst, Ministerie van BZK Korps Landelijke Politiediensten, Ministerie van BZK Editor: EJ van Eijk, NFI

> Date: October 2000 Last change: October 19, 2000

# Status of this memo

This memo is a draft standard.

# **Copyright notice**

Copyright (c) Netherlands Forensisch Instituut, Binnenlandse Veiligheidsdienst, Korps Landelijke Politiediensten.

Changes to this document can only be made by the copyright holder.

# **Abstract**

This document describes the way the result of interception (CC) should be delivered to a LEMF. It's basis is that each funcional unit should not contain all the necessary information to identify the target of interception and the interested LEA.

# **Contents**

1	Introduction	1
2	Scope of this document	2
3	Definitions and abbreviations	3
4	User requirements for transport	4
5	Description of Handover Interface	5
	5.1 HI1	5
	5.2 HI2	6
	5.3 HI3	6
	5.4 HI bundling	6
6	Transport Implementation	7
	6.1 Functional descriptions	8
	6.1.1 $S1 \dots \dots \dots \dots \dots \dots \dots \dots \dots$	8
	$6.1.2$ $S2 \dots \dots$	9
	6.1.3 $T1 \dots \dots$	9
	6.1.4 <i>T</i> 2	10
7	Notation	11

8	Global data structures		
	3.1 Provider identifier	12	
	3.2 Time information	12	
	3.3 Sequence number	13	
	3.4 Target Identifier	13	
9	S1 – $T2$ Traffic definition	14	
10	Handover Interface 1	18	
11	Handover Interface 2	19	
	11.1 HI2 – Session establishment	19	
	11.2 HI2 – Session termination	20	
	11.3 Operational message flows	20	
12	Handover Interface 3	24	
	12.1 Session establishment	24	
	12.2 Operational message flows	25	
13	Use of cryptography	27	
	13.1 Cryptographic key representation	27	
	13.2 PDU encryption	27	
	13.3 TLS Tunnel specifications	28	
	13.4 Versions and cryptography	28	
A	HI1 XML example	30	

# **List of Tables**

9.1	PDU2 description	15
9.2	DPDU description	16
9.3	Payload Direction	16
9.4	Payload Identification	17
11.1	Message attribute description	21
12.1	SHA Information PDU Attributes	26

# **List of Programs**

8.1	Timestamp definition	12
8.2	Warrant period definition	13
8.3	Sequence number description	13
8.4	Target ID structure	13
9.1	Description of a PDU2 packet	15
9.2	Description DPDU packet	15
11.1	HI2_init code	19
11.2	HI2_end code	20
11.3	HI2: general payload type	20
11.4	HI2: Messages	22
11.5	HI2 Structures	23
12.1	IPPDU code	26
12.2	SHA information PDU	26

### Introduction

This memo describes in detail the Internet LI interface required for LI based upon the requirements described in the Functional Specifications [CLE+00].

It provides the specification of the interface from an Interception Function within an IP network to a Law Enforcement Monitoring Facility for the purpose of providing data to Law Enforcement Agencies (LEAs) in the area of Lawful Interception (LI) of communications.

This memo also describes a conceptual architecture that can perform LI in a distributed manner. The architecture also serves as a reference how to secure the delivery of the intercepted data to the LEA.

This is a technical document, and as such data structures used in transport channels are readily inserted into the document where needed, instead of in an Annex. This will minimize the number of lookups necessary while reading this document.

This memo does not describe how the data should be intercepted.

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [Bra97].

# Scope of this document

This document does not describe the means by which data should be intercepted from the network. Those means rely on the precise architecture of the network on which LI should take place.

### Definitions and abbreviations

**CC** Content of Communication. Identical to Target Traffic.

**HI** Handover Interface

**IA** Interception Authorization

IRI Intercept Related Information

**LEA** Law Enforcement Agency

**LEMF** Law Enforcement Monitoring Facility

LI Legal Interception

**RI** Results of Interception, CC and IRI

**Target Traffic** Network traffic originating at, or being routed to the target identity

TLS Transport Layer Security Protocol, defined in [DA99].

# User requirements for transport

This protocol should be fulfilling the following goals, a requirement stated in  $[CLE^+00]$ .

- 1. Protect information which can be gathered from the protocol traffic that allows an outsider to observe:
  - (a) How many target identities are subject to interception.
  - (b) Which target identities are subject to interception.
  - (c) Which LEAs requested the LI of any target identity.
- 2. Require a minimum number of personnel to be involved in the LI requirement.
- 3. Allow an authenticated and secure transmission of confidential data over a (possibly) hostile IP network, e.g. the Internet.
- 4. Allow the transmission to be resilient to communication errors at a lower layer, including malicious tampering with the lower level communication.
- 5. Provide standard logging entries which can be used to prevent or trace misuse of the technical functions integrated in the IP network to intercept data from the network.
- 6. Allow implementation using only open standards.

# Description of Handover Interface

Logically the Handover Interface (HI) can be divided in three parts:

HI1 concerned only with the administrative protocol involved with LI.

HI2 provides a transport for Interception Related Information (IRI).

HI3 provides a transport for Communication Content (CC)

#### 5.1 HI1

Secure communication SHALL be used to transport relevant documents requesting to initiate, prolong or terminate LI. Which type of secure communication is used depends on the LEA requesting the LI.

The HI1 will also be used for transport of cryptographic key material where appropriate:

- public keys for asymmetric cryptography where this is used for authentication
- symmetric case keys associated with a particular Interception Authorization.

#### 5.2 HI2

Data transported through the HI2 are:

- 1. Authentication events, e.g. login/logout information provided by a RADIUS server.
- 2. Log events related to a target identity, e.g. POP box access events. Which log events are to be transferred is defined in [RV00].

#### 5.3 HI3

Data transported through the HI3 are:

- 1. Content of Communication
- 2. Information generated from the CC, e.g. hash results.

#### 5.4 HI bundling

For practical purposes HI2 and HI3 MAY be bundled together on one communication channel, if that provides economical or technical advantages.

HI1 MAY NOT use the same communication channel as either HI2 or HI3 at present (pending security and threat analysis of actual implementations of the interfaces.) <sup>1</sup>

For HI2 and HI3 traffic, bundling is REQUIRED in a secure tunnel if the communication channel used is routed on "the Internet".

<sup>&</sup>lt;sup>1</sup>This may be subject to change in a future revisions of this document.

# **Transport Implementation**

Figure 6.1 describes the general architecture necessary to create a reliable transport for HI2 and HI3.

S1 and S2 are two functional entities that implement two functions on the premises of the ISP:

- *S*1 Interception of traffic directed at or originating from the target identity.
- S2 Gathering of traffic from S1 and transport it to T1 by a secure means.

The following items are true for S1 and S2:

- 1. One S2 functional entity MAY serve multiple S1 functional entities.
- 2. S1 and S2 MAY be mapped onto multiple physically separated machines. If S1 and S2 are not mapped onto the same physical machine A1 denotes the route from S1 to S2. A1 MUST be a secure channel.

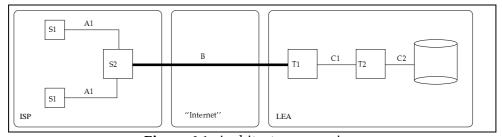


Figure 6.1: Architecture overview

TIIT v0.1.2 7 of 32 October 19, 2000

T1 and T2 are the functional entities on the LEA side that implement the receiving side of the protocol. C1 is a secure channel from T1 to T2. C1 SHALL NOT be "the Internet" or any other public network. The security measures necessary to secure C1 are defined by the receiving LEA.

#### 6.1 Functional descriptions

#### **6.1.1** *S*1

These are the functional requirements of S1:

- 1. S1 MUST intercept all the target traffic as described in [CLE<sup>+</sup>00]. S1 should be dimentioned adequately to the original stream the traffic is gathered from.
- S1 MAY use or offer SNMP functionality for day to day maintenance monitoring of the correct operation of the unit. It MAY NOT be configurable by SNMP. CC or IRI MAY NOT be monitored with SNMP.
- 3. CC or IRI MAY NOT be monitored remotely.
- 4. S1 MAY be configured from an S2 functional entity if a client-server relationship between those functional entities exists.
- 5. *S*1 MUST generate a correct timestamp at the time of interception of each packet. The timestamp is derived from the system time.
- 6. *S*1 MUST maintain a correct system time, by using the NTP protocol [Mil92]. *S*2 MAY operate as a stratum 2 NTP server.
- 7. *S*1 MUST generate a SHA hash for every 64 packets intercepted. The packets MUST be logically concatenated into a buffer, the SHA hash will be computed over this buffer. If less than 64 packets come available in a 300 second period, the SHA hash MUST be computed over the logical buffer containing only the available packets.
- 8. S1 MUST encrypt the target traffic with a known cryptographic key. This key is specified for the target identity in the IA. Other traffic from S1 to S2 and vice versa SHOULD be encrypted, i.e. SNMP status traffic.
- 9. *S*1 SHALL NOT have an IP stack operating on the intercepting side.

#### **6.1.2** *S*2

These are the functional requirements of S2:

- 1. *S*2 MUST open an TLS/SSLv3 tunnel to every point of delivery defined in the legal authorization. The keys are negotiated via the HI1. If a point of delivery cannot be reached the *S*2 MUST try to connect every 300 seconds. If a point of delivery cannot be reached for more than 3600 seconds, authorized personnel and receiving side (named in the warrant) MUST be notified by other means than HI2.
  - Instead of a TLS/SSLv3 tunnel it is also possible to establish a TCP/IPSec connection with IKE doing the authentication between S2 and T1. For the remainder of this RFC where TLS/SSLv3 is written, also TCP/IPSec with IKE can be read.
- 2. *S*2 MUST accept traffic from every *S*1 functional entity with which it has a authenticated client-server relation. The accepted traffic MUST be forwarded on one of the open TLS/SSLv3 tunnels. Which tunnel is used to forward the traffic SHOULD be decided randomly.
- 3. *S*2 SHALL authenticate the *S*1 client before accepting traffic. Authentication MAY be based on IP address if, and only if, network infrastructure does not allow other traffic to be directed at *S*2.
- 4. The TLS/SSLv3 tunnel SHALL only accept allowed cryptosuites. Provision MUST be taken that the negotiation of other cryptosuites than the allowed set MUST result in disconnection of the tunnel. An alarm MUST be raised to authorized personnel in this case.
- 5. *S*2 MAY use or offer SNMP functionality for monitoring the operation of the unit. It MAY NOT be configurable by SNMP.
- 6. S2 MAY operate as a stratum 2 NTP server.

#### **6.1.3** *T*1

These are the functional requirements of T1:

1. T1 MUST accept incoming TLS/SSLv3 tunnels from every known S2 functional unit. Known means that both the IP address(range) and public key of the S2 are available to the T1. The keys are negotiated via the HI1.

TIIT v0.1.2

- 2. Incoming traffic from unknown IP addresses MAY be discarded.
- 3. T1 MUST accept traffic from every S2 functional entity with which it has a authenticated client-server relation. The accepted traffic MUST be forwarded to a T2. Which T2 will be chosen depends on the target identity.
- 4. T1 MUST authenticate the S2 client before accepting traffic.
- 5. Connections with unauthorized keys MAY be discarded.
- 6. The TLS/SSLv3 tunnel should only accept allowed cryptosuites. Provision MUST be taken that the negotiation of other cryptosuites than the allowed set MUST result in disconnection of the tunnel. An alarm SHOULD be raised to authorized personnel in this case.
- 7. *T*1 MAY use or offer SNMP functionality for monitoring the operation of the unit. It MAY NOT be configurable by SNMP.
- 8. T1 MAY deliver incoming packets to more than 1 T2.
- 9. *T*1 MAY operate as a stratum 1 NTP server.

#### **6.1.4** *T*2

These are the functional requirements of T2:

- 1. T2 MUST unalterably store the plaintext of the incoming messages from T1 without delay.
- 2. Incoming SHA lists SHOULD be compared to the computed SHA of the incoming intercepted traffic. *T*2 operators SHOULD be notified if any mismatches occur.

## **Notation**

All description and encoding of data structures mentioned in this document are following the External Data Representation standard, as described in [Sri95]. However, two small derivations are used:

- 1. Bitfields are used, as in C, bigendian order.
- 2. All structures are not padded to an even 4byte size, unless stated otherwise explicitly.

### Global data structures

Several data items will be shared by both the Provider and the LEMF. This section describes these items.

#### 8.1 Provider identifier

The identifier provider\_identifier is a unique number that identifies a specific provider, it is defined as an unsigned 16 bit integer. This identifier is to be used in communications over any of the interfaces HI1, HI2 or HI3 to identify the provider.

#### 8.2 Time information

All information regarding time will be stored as defined in Program 8.1:

```
struct {
   unsigned int seconds; /* seconds since 1-1-1970 */
   unsigned int useconds; /* microsecond timer */
} Timestamp;
```

Program 8.1: Timestamp definition

This is the standard UNIX format for storing timestamps.

The global variable WarrantPeriod, as defined in Program 8.2 pertaining to time MUST be defined within a case, it is specified in the authorization for LI for a specific target.

```
struct {
   Timestamp startOfLI; /* start of the interception */
   Timestamp endOfLI; /* end of the interception */
} WarrantPeriod;
```

Program 8.2: Warrant period definition

#### 8.3 Sequence number

Sequence numbers should be strictly monotonically increasing for every packet sent to any LEMF. The initial value of seqNum MUST be larger than 0x10. If wrap around of the value of seqNum should occur, the wrapped value MUST be smaller than 0x10.

```
struct {
   opaque snifferID[1];
   opaque seqNum[3];
} SequenceNumber;
```

**Program 8.3:** Sequence number description

#### 8.4 Target Identifier

The target identifiers are structured as described in Program 8.4. The LEM-FID is the MD5 hash generated by the LEMF. In this way a LEMF can generate its own identifiers, without compromising the interested LEA if the packet is intercepted en route.

```
struct {
    opaque md5hash[16];
} TargetID;
```

**Program 8.4:** Target ID structure

### S1 - T2 Traffic definition

CC and IRI packets transported from S1 to T2 are encapsulated at S1 in a PDU2 packet as defined in Program 9.1 and Table 9.1. The CC and IRI information itself is encoded in a DPDU packet according to Program 9.2, Table 9.2 and Table 9.4.

Transport of traffic between S1 and S2 should use TCP on port 1903. IPSec MAY be used to enhance the confidentiality of the data en route if the data does not leave a building. IPSec MUST be used in all other cases.

Traffic between T1 and T2 is transported over a secured channel. Under certain conditions physical security and total administrative control of the route from T1 and T2 can be enough.

After every packet sent on a TLS link a Keep Alive counter is restarted. When that counter reaches 120 seconds a TIIT-KeepAlive packet is sent. T1 MUST respond within 30 seconds with a TIIT-Alive packet. If this packet is not received, it must be assumed that T1 is not reachable, and the corresponding TLS connection MUST be torn down. When the link has been torn down, general rules for connecting S2 to T1 apply as defined in Section 6.1.2.

TIIT-Keepalive and TIIT-Alive packets are PDU2 packets with version—Major set to 0x00, and versionMinor set to 0xff and 0xfe respectively.

T1 MUST NOT accept traffic from any S2 if all the T2's cannot be reached, or when traffic cannot be buffered in the mean time.

S2 MUST NOT accapt traffic from any S1 if it cannot create a secure TLS tunnel with at least one T1.

TIIT v0.1.2 14 of 32 October 19, 2000

```
struct {
    unsigned int versionMajor:4;
    unsigned int versionMinor:4;
    opaque length[3];
    TargetID targetid;
    SequenceNumber sequenceNumber;
    opaque encrypedDPDU<length>;
} PDU2;
```

**Program 9.1:** Description of a PDU2 packet

Attribute	Description	Default Value
versionMajor	Major version number of the pro-	0x01
	tocol	
versionMinor	RC4	0x00
versionMinor	Mars	0x01
versionMinor	RC6	0x02
versionMinor	Rijndael	0x03
versionMinor	Serpent	0x04
versionMinor	Twofish	0x05
length	Length of the total PDU2 packet	None
targetID	Unique target identification	None
sequenceNumber	Assigned by $\mathit{S}1$	None
encryptedDPDU	DPDU encrypted with the algo-	None
	rithm denoted by the version mi-	
	nor number	

Table 9.1: PDU2 description

```
struct {
    opaque providerID[2];
    unsigned int direction:2;
    unsigned int payLoadID:14;
    Timestamp timestamp;
    opaque payload;
} DPDU;
```

**Program 9.2:** Description DPDU packet

Attribute	Description	Default Value
providerID	Unique provider identification.	None
	Assigned by the ministry of V&W	
direction	Direction of the payload	Table 9.3
payLoadID	Identification of the payload	Table 9.4
timestamp	See definition of Timestamp	None
payload	defined by payloadID	None

Table 9.2: DPDU description

Value	Description
00b	Unknown (cannot be determined)
01b	From user to network
10b	From network to user

Table 9.3: Payload Direction

Value	Description of Payload	Program
0x0000	NULL packet, can be used for traf-	
	fic generation	
0x0001	HI3: IPv4 packet in IPPDU	12.1
0x0003	HI3: IPv6 packet in IPPDU	12.1
0x0006	HI3: Ethernet packet in IPPDU	12.1
0x0100	HI3: Email packet in IPPDU	12.1
0x1000	HI3: SHA Packet	12.2
0x2000	Fake: generated packet	
0x3000	HI2: Start Session	11.1
0x3001	HI2: End Session	11.2
0x3002	HI2: SuccessfulDialupLoginIPv4	11.4
0x3003	HI2: SuccessfulDailupIPv6	11.4
0x3004	HI2: FailedLogin	11.4
0x3005	HI2: Success-	11.4
	fullPv4DHCPRegistration	
0x3006	HI2: Logout	11.4
0x3100	HI2: Generic LogFile lines such as	
	produced by syslogd	
0x3F00	HI2: Generic ISP – LEA message	11.5
0x3FFC	HI2: $S1$ malfunction	
0x3FFD	HI2: Attempt to connect TLS to $S2$	
0x3FFF	HI2: Undefined Error	

Table 9.4: Payload Identification

### **Handover Interface 1**

Through the HI1 the following items are negotiated or sent:

- 1. IP addresses of five (5) *T*1 points and their corresponding X.509 certificates.
- 2. Target identification as defined in [CLE<sup>+</sup>00].
- 3. A 192bit key belonging to a target. If RC4 is used to encrypt the DPDU packets, the lower 128bits are used.

Official media for HI1 are paper and WORM discs, e.g. CD-Recordable. Other media types MAY be negotiated between LEA and ISP at a later time.

The electronic format for HI1 information is in XML format. An example for a warrant is described in Appendix A.

### **Handover Interface 2**

This section describes the format of messages that can be exchanged over HI2. Messages transmitted via HI2 originate at functional entity S1 and terminate at T2. Functional entity T2 MAY discard any message that does not comply to the given definitions. A log event MUST be generated if this occurs

Messages on the HI2 are encapsulated in DPDU packets, described in Program 9.2.

#### 11.1 HI2 – Session establishment

After a TLS tunnel has been established between S2 and T1, the first message on HI2 MUST state the following information given in program 11.1. This message MUST have DPDU::payLoadID == 0x3000.

Program 11.1: HI2\_init code

#### 11.2 HI2 – Session termination

Similarly to session establishment, a terminated session – indicated by the event that all warrants have expired – will give rise to a message on HI2 stating the information a given in 11.2. This message MUST have DPDU: :payLoadID set to 0x3001. This SHOULD be the last message sent. The TLS tunnel SHOULD be torn down after this message.

Program 11.2: HI2\_end code

#### 11.3 Operational message flows

Messages on the HI2 are encapsulated in DPDU packets, described in Program 9.2. The payloadID field in the DPDU packets defines the structure in the payload attribute, see Table 9.4. A HI2 message is encapsulated in a HI2\_Message (see Program 11.3. The message itself is defined by the structures defined in Program 11.5.

```
struct {
   unsigned short length;
   opaque message<length>;
} HI2_Message;
```

Program 11.3: HI2: general payload type

Value	Description of attribute
dialedNumberFromPOP	The number dialed by the target.
	Filled with ascii 0x20 if not avail-
	able
cLIFromTarget	The number used by the target.
	Filled with ascii 0x20 if not avail-
	able
location	X and Y coordinates of the target.
	Filled with ascii 0x20 if not avail-
	able
popID	An identifier assigned by the ISP
	to a POP location.
assignedAddress	The IPv4 or IPv6 address assigned
	to the target.
leaseTime	The maximum time a target has
	this address as a valid IP adress.

Table 11.1: Message attribute description

TIIT v0.1.2 21 of 32 October 19, 2000

```
struct {
        telephoneNumber dialedNumberFromPOP;
        telephoneNumber cLIFromTarget;
        string location[6];
       string popID[8];
        IPv4Number assignedAddress;
} SuccessfulDialupLoginIPv4;
struct {
        telephoneNumber dialledNumberFromPOP;
       telephoneNumber cLIFromTarget;
        string location[6];
       string popID[8];
       IPv6Number assignedAddress;
} SuccessfulDailupLoginIPv6;
struct {
        IPv4Number assignedAddress;
        Timestamp leaseTime;
       MACAddress referenceMACAddress;
       string location[6];
       string popID[8];
} SuccessfulIPv4DHCPRegistration;
struct {
        telephoneNumber dialledNumberFromPOP;
        telephoneNumber cLIFromTarget;
       string location[6];
       string popID[8];
} FailedLogin;
struct {
        string location[6];
        string popID[8];
} Logout;
```

Program 11.4: HI2: Messages

**Program 11.5:** HI2 Structures

## **Handover Interface 3**

This section describes all necessary data structures and message flows to get a proper instance of the HI3.

#### 12.1 Session establishment

#### Assumptions:

- 1. An IP route exists from S1 to S2.
- 2. An IP route exists from S2 to T1.
- 3. A route exists from T1 to T2.

This the message sequence to get the system running.

- 1. S1 SHOULD establish a secure path to S2 when it is put in operational mode. S1 SHOULD authenticate to S2.
- 2. T1 establishes a path to T2
- 3. S2 establishes a TLS connection to every T1 that is named in the warrant. See [DA99] for the correct message flows.
- 4. A TLS connection MUST be initiated by functional entity S2. T1 and T2 MAY NEVER initiate a session. Any deviation from this behavior MUST generate a log event that MUST be reported immediately to the

TIIT v0.1.2 24 of 32 October 19, 2000

LEMF via HI2 and in a timely fashion via the communication channel used for HI1.

5. A HI2\_init packet (Program 11.1) is sent from S1 to T2.

At this point S2 is connected to T1, and the system is ready to transport intercepted traffic<sup>1</sup>.

#### 12.2 Operational message flows

This section describes the message flows occurring between S1 and T2. Message flows will be through S2 and T1.

- **Interception IPv4 or ICMPv4 packet** An intercepted IPv4 packet will be encapsulated in a IPPDU packet (See Program 12.1. This packet is stored in a DPDU packet with payloadID set to 0x0001.
- **Interception IPv6 or ICMPv6 packet** An intercepted IPv6 packet will be encapsulated in a IPPDU packet (See Program 12.1. This packet is stored in a DPDU packet with payloadID set to 0x0003.
- **SHA Description packet** Every 64 packets intercepted generate a SHA description packet described in Program 12.2. A SHA description packet is encapsulated in a DPDU packet.
- **Traffic Shaping** The output rate of packets at *S*1 MAY be traffic shaped to alleviate worst case bandwidth behavior and make traffic analysis more difficult. Care must be taken that a packet SHALL be sent within 30 seconds after being intercepted.
- Fake traffic Fake Packets MAY be output at S1 to make traffic analysis more difficult. These packets have their payloadID set to  $0 \times 9000$ . The size of the packets SHALL be pseudo random between 64 and 1500 bytes. The content of the packets SHALL be pseudo random.

The DPDU content PDUs and the DPDUs are encrypted, and the encrypted content is inserted in a PDU2, as described in Program 9.1. PDU2 packets are inserted in the TLS tunnel from S2 to T1. Which TLS tunnel is used to transport the PDU2 packet SHOULD be randomly on all available tunnels.

<sup>&</sup>lt;sup>1</sup>Deviations are possible in case of non-regular LI

```
struct {
   unsigned char len[2];
   opaque payload<len>;
} IPPDU;
```

**Program 12.1:** IPPDU code

```
struct {
   unsigned int n;
   SequenceNumber snList<n>;
   unsigned char SHAhash[20];
} SHAPDU;
```

**Program 12.2:** SHA information PDU

Attribute	Description	Default
n	Number of sequence numbers in	64
	snList	
snList	An array of n SequenceNumbers.	
	It holds the sequence numbers of	
	the DPDU packets for which this	
	SHA hash was computed	
SHAhash	The computed SHA hash	

Table 12.1: SHA Information PDU Attributes

# Use of cryptography

Cryptography is used as a tool to safeguard the intercepted data during transport against traffic analysis as well as to ensure confidentiality of the contents. All keys used within the framework of this protocol MUST be kept from all parties outside of the protocol. Only authorized personnel MUST be able to access the keys or operate the equipment using the keys. See also [CLE+00].

#### 13.1 Cryptographic key representation

Keys for asymmetric algorithms – as used for authentication of the TLS tunnel – MUST be distributed as X.509 certificates on the HI1. X.509 certificates used in LI expire after one year.

Keys for symmetric algorithms – i.e. the DPDU encryption – MUST be specified as hexadecimal strings by the LEA over the HI1. The strings will be in big-endian notation.

#### 13.2 PDU encryption

All PDUs as defined in Program 9.2 MUST be encrypted with a symmetric algorithm using a key that is specified in the corresponding IA. The following cryptography is defined:

TIIT v0.1.2 27 of 32 October 19, 2000

- 1. the symmetric algorithm to be used will be the new AES, once they are known, at a medium key size (192 bits).
- 2. in the mean time RC4 with a key length of 128 bits will be used

Since it is not known at the time of writing which AES standards are to be used, the second option MUST be implemented. Support for each AES MUST be possible in all implementations supporting the TIIT protocol as specified in this document.

#### 13.3 TLS Tunnel specifications

The path from *S*2 to *T*1 is an encrypted tunnel, based on SSLv3 or TLS [DA99]. The path is encrypted using a session key that is negotiated based on the key material specified in the X.509 certificates available at the ISP and the LEA. Care should be taken that cryptosuites specified here are eligible for use. Fallback to plaintext or another cryptosuite than the predefined ones SHALL NOT occur.

Possible cryptosuites are:

- 1. RSA 2048 with all the new AES once it is known at a medium key size (192 bits).
- 2. RSA 2048 with RC4 with a 128 bit key.

Every PDU2 that is to be transported MUST be encapsulated in one TLS Record [DA99].

#### 13.4 Versions and cryptography

When the AES candidates are known, one of them MUST be used for the symmetric encryption used in the TIIT protocol. Use of the AES for encryption MUST be signaled by a minor version number 1. Until the AES is available, RC4 128 MUST be used. Use of RC4 with a 128 bit key MUST be signaled by the use of a minor version number of 0.

# **Bibliography**

- [Bra97] S. Bradner. Key words for use in rfcs to indicate requirement levels. Request for comment 2119, IETF, March 1997.
- [CLE<sup>+</sup>00] CO, LM, EJ, EL, and SJ. Functional specifications li in the nether-lands, May 2000.
- [DA99] T. Dierks and C. Allen. The tls protocol version 1.0. Request for comment 2246, IETF, Januari 1999.
- [Mil92] David L. Mills. Network time protocol (version 3). Request for comment 1305, IETF, March 1992.
- [RV00] RV. Algemene maatregel van bestuur voor ip taps, May 2000.
- [Sri95] R. Srinivasan. Xdr: External data representation standard. Request for comment 1832, IETF, August 1995.

### Appendix A

# HI1 XML example

This appendix sketches an example of a warrant as it can be in a digital format.

```
<?xml version=''1.0''?>
<hi1:warrant>
  <hi1:delivery>
```

In the delivery section a number of delivery points are described. The number of delivery points is given up front.

At least one (1) hil:address should exist within the delivery section, and a maximum of five (5).

```
<hil:targetIdentity>
```

A target can be identified by name and address data, account name or login name or by a list of times and ip addresses.

```
<hil:person>
      <hil:attribute name='\Name''>
        <hil:string val= '`The target's name''>
      </hil:attribute>
      <hil:attribute name=''Address''>
        <hil:string val= '`The target's address''>
      </hil:attribute>
    </hil:person>
or
    <hil:account>
      <hil:attribute name=''Account name''>
        <hil:string val=''someaccount@somedomain.com''>
      </hil:attribute>
    </hil:account>
or
    <hil:attribute name=''Number of locationInforma-</pre>
tion''>
      <hil:real val='\1''>
    </hil:attribute>
    <hil:locationInformation>
      <hil:attribute name=''IP address''>
        <hil:ipaddress val=''176.16.23.22''>
```

```
</hil:attribute>
<hil:attribute name='`Start Time''>
        <hil:time val='`A date/time in UTC''>
        </hil:attribute>
        <hil:attribute name='`End Time''>
              <hil:time val='`A date/time in UTC''>
              </hil:attribute>
</hil:locationInformation>
```

The number of hil:locationInformation sections that are necessary to uniquely identify a target is provider dependent. Start and end time MAY be the same.

```
</hil:targetIdentity>
```

The start and end time and date of the warrant.

```
<hi1:legalPeriod>
  <hi1:attribute name='`Start time''>
        <hi1:time val='`A date/time in UTC''>
        <hi1:attribute>
        <hi1:attribute name='`End time''>
              <hi1:time val='`A date/time in UTC''>
        <hi1:attribute>
  </hi1:legalPeriod>
```

And the electronic signature of the judge signing the warrant.